

For the month ended

## Monthly Return of Equity Issuer on Movements in Securities

(dd/mm/yyyy) :		30/09/2018		
To : Hong Kong Exchanges	s and Clea	ring Limited		
Name of Issuer	DTXS S	ilk Road Investme	nt Holdings	Company Limited
Date Submitted	04/10/20	)18		
I. Movements in Authorised	d Share Ca	apital		
1. Ordinary Shares				
(1) Stock code : 620	Descrip	tion:	Ordinary	/ Shares
		No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preced	ing month	5,000,000,000	HK\$0.50	HK\$2,500,000,000.00
Increase/(decrease)	-	Nil		Nil
Balance at close of the mo	nth _	5,000,000,000	HK\$0.50	HK\$2,500,000,000.00
(2) Stock code : N/A	Descrip	otion :	N	/A
		No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preced	ing month			
Increase/(decrease)	-			
Balance at close of the mo	nth _			

2. Preference Shares			
Stock code : N/A Descrip	tion :	N/A	4
	No. of preference shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month_			
Increase/(decrease)		-	
Balance at close of the month			
3. Other Classes of Shares			
Stock code : N/A Descrip	tion :	N/A	4
	No. of other classes of shares	(State	Authorised share capital (State currency)
Balance at close of preceding month_			
Increase/(decrease)		_	
Balance at close of the month			
Total authorised share capital at the e currency):	nd of the month	•	2,500,000,000.00

## II. Movements in Issued Share Capital

	No. of ordir	nary shares	No of preference	No. of other
	(1)	(2)	shares	classes of shares
Balance at close of				21/2
preceding month	555,937,692	N/A	N/A	N/A
Increase/ (decrease) during the month	N/A	N/A	N/A	N/A
Balance at close of the month	555,937,692	N/A	N/A	N/A

## III. Details of Movements in Issued Share Capital

Share Options (under Share Option Schemes of the Issuer) Particulars of share No. of new shares of No. of new shares of option scheme issuer issued during issuer which may be including EGM the month pursuant issued pursuant thereto as at close of approval date thereto Movement during the month the month (dd/mm/yyyy) and class of shares issuable Granted Cancelled Lapsed Exercised 1. Share Options Scheme -AGM approval date: (06/12/2012) Date of grant: (28/01/2016) Exercise Price of HK\$3.00 Ordinary shares Nil Nil Nil 750,000 Nil 14,550,000 (Note 1) 2. Share Options Scheme -AGM approval date: (06/12/2012) Date of grant: (21/12/2016) Exercise Price of HK\$3.71 Ordinary shares Nil Nil Nil Nil 3,200,000 Nil (Note 1) 3. Share Options Scheme -AGM approval date: (06/12/2012) Date of grant: (04/09/2017)Exercise Price of HK\$4.814 Ordinary shares Nil Nil Nil Nil 3,000,000 Nil (Note 1) Total A. (Ordinary shares) N/A (Preference shares) N/A (Other class) N/A Total funds raised during the month from exercise of options (State currency) N/A

Convertibles (i.e. Convertible	e into Shares of th	ne Issuer wh	iich are to be Liste	ed)		
Class and description	Currency of amount outstanding	Amount a close of preceding month	Converted	Amount at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A						
Stock code (if listed)	  					
Class of shares						
issuable (Note 1)						
Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy))	( / / )					
2.	<u> </u>					
=						
Stock code (if listed)	<del></del>					
Class of shares		<del></del>				
issuable (Note 1)						
Subscription price						
EGM approval date (if applicable)						
(dd/mm/yyyy)	( / / )					
3.						
	_					
Stock code (if listed) Class of shares						
issuable (Note 1)						
Subscription price						
EGM approval date						
(if applicable)	( / / )					
(dd/mm/yyyy) 4.	( / / )					
_ <del></del>						
-						
	<del></del>					
Stock code (if listed)						
Class of shares						
issuable (Note 1)  Subscription price						
EGM approval date						
(if applicable)						
(dd/mm/yyyy)	( / / )		Total C (Ordina	ary oboros	NI/A	
			Total C. (Ordina	ary snares) ce shares)	N/A N/A	_
				ther class)	N/A	_
			( -	- / <u></u>		=

Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

Full particulars including EGM approval date (dd/mm/yyyy), if applicable, and class of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. <u>N/A</u>		
7		
shares (Note 1)		
2		
shares (Note 1)		
3.		
shares (Note 1)		
Total D. (Ordinary shares)	N/A	
(Preference shares)	N/A	•
(Other class)	N/A	

	her Movements  Type of Issue							No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as a close of the month
	1,400 01 10000			Class of shares					
				issuable (Note 1)					
1.	Rights issue	At price :	State currency	Issue and allotment date : (dd/mm/yyyy)	( /	/	)		
				EGM approval date: (dd/mm/yyyy)	( /	/	)		
				(uu/iiii//yyyy)				N/A	N/A
				Class of shares issuable (Note 1)					
2.	Open offer	At price :	State currency	Issue and allotment date: (dd/mm/yyyy)	( /	/	)		
				EGM approval date: (dd/mm/yyyy)	( /	/	)		
				(dd/iiiii/yyyy)				N/A	N/A
				Class of shares issuable (Note 1)					
3.	Placing	At price :	State currency	Issue and allotment date : (dd/mm/yyyy)	( /	/	)		
				EGM approval date: (dd/mm/yyyy)	( /	/	)		
				(44/11111/9999)				N/A	N/A
				Class of shares issuable (Note 1)					
4.	Bonus issue			Issue and allotment date: (dd/mm/yyyy)	( /	/	)		
				EGM approval date: (dd/mm/yyyy)	( /	/	)		
				(30/11111/9999)				N/A	N/A

							No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the
	Type of Issue							month
				Class of shares issuable (Note 1)				
5.	Scrip dividend	At price :	State currency ———	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
				EGM approval date: (dd/mm/yyyy)	( / /	)	NI/A	N/A
							N/A	N/A
				Class of shares repurchased (Note 1)				
6.	Repurchase of shares			Cancellation date : (dd/mm/yyyy)	( / /	)		
				EGM approval date: (dd/mm/yyyy)	( / /	)	N/A	N/A
				Class of shares redeemed (Note 1)				
7.	Redemption of shares			Redemption date : (dd/mm/yyyy)	( / /	)		
				EGM approval date: (dd/mm/yyyy)	( / /	)		
							<u>N/A</u>	N/A
				Class of shares issuable (Note 1)				
8.	Consideration issue	At price :	State currency ———	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
				EGM approval date: (dd/mm/yyyy)	( / /	)		
							N/A	N/A

	Type of Issue						No. of new shares of issuer issued during the month pursuant thereto	No. of r shares issuer w may b issue pursua thereto a close of mont	of hich be ed ant as at f the
	Type of issue								
		Class of shares issuable (Note 1)							
9.	Capital reorganisation	Issue and allotment date : (dd/mm/yyyy)	(	/	/	)			
		EGM approval date: (dd/mm/yyyy)	(	/	/	)			
							N/A	_ <u>N</u>	I/A
		Class of shares issuable (Note 1)							
10.	Other (Please specify) At price : State currency	Issue and allotment date : (dd/mm/yyyy)	(	/	/	)			
		EGM approval date: (dd/mm/yyyy)	(	/	/	)	N/A	N	I/A
		Total E. (Ore (Prefe	erer	nce	sh	ares ares class	) N/A		
Тс	otal increase / (decrease) in ordinary shares during	the month (i.e. Total of A	to E	Ξ):		(1	)	N/A	
						(2	2)	N/A	
To	otal increase / (decrease) in preference shares duri	ing the month (i.e. Total of	A t	o E	Ξ):			N/A	
To	otal increase / (decrease) in other classes of share	s during the month (i.e. To	tal (	of a	A to	E):		N/A	
	These figures should be the same as the relevant fi	•				•	sued Share (	Capital").)	

Remarks (if any):
Submitted by: Tang Man Joe
Title: Company Secretary
(Director, Secretary or other duly authorised officer)

## Notes:

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.